



# Safe and child-sensitive counselling and complaint reporting to address violence against children



# Safe and child-sensitive counselling, complaint and reporting mechanisms to address violence against children

Joint report of the Special Representative of the Secretary-General on Violence against Children  
and the Special Rapporteur on the Sale of Children, Child Prostitution and Child Pornography  
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The present publication is based on the Joint report of the Special Representative of the Secretary-General on Violence against Children and the Special Rapporteur on the sale of children, child prostitution and child pornography (A/HRC/16/56) presented to the 16th Session of the Human Rights Council, in Geneva.

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A child looks through the hole on the main gate of a building. Dhaka, Bangladesh.

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## Foreword

Counseling, complaint and reporting mechanisms constitute critical remedies to address breaches of children's rights, including violence in all its forms. Their development is anchored in international human rights standards and, in view of their urgency, the Brazil Congress against the Sexual Exploitation of Children and Adolescents called on their establishment in all countries by 2013.

The need for safe, well-publicized, confidential and accessible mechanisms for children to report incidents of violence was also a serious area of concern addressed by the UN Study on Violence against Children. The Study recommended their establishment, including through telephone helplines which children can access to report abuse, speak to a trained counsellor in confidence, and ask for support and advice.

The Study underscored the need to make all children, including those in care and justice institutions, aware of the existence of complaint mechanisms and recommended that in every locality and every setting there should be well-publicized and easily accessible services to investigate reports of violence against children.

Based on information received from national governments and many other stakeholders, as well as research conducted, this report provides an overview of existing counseling, complaint and reporting mechanisms, drawing attention to positive developments and persisting challenges.

The report acknowledges efforts made in many countries by governments, national human rights institutions, civil society and community-based organizations to promote counseling services, and to enable complaints and reporting of violence, including sexual abuse and exploitation.

At the same time, the report also recognizes that these initiatives remain piecemeal and are not always developed specifically for children. They are

insufficient to secure children's protection from violence and fail to be envisaged as core components of a robust child protection system. Counseling, reporting and complaint mechanisms are still too often unavailable or difficult to access, particularly by vulnerable children. And where they exist, they often lack the needed resources and skills to address the concerns of children and promote the healing and reintegration of victims.

More often than not, children lack trust in available services, fearing they will not be believed and judged rather than listened to. They also frequently fear public exposure, stigmatization, harassment and reprisals if they make incidents of violence known. In most cases, children are unaware of the existence and role of counseling, reporting or complaint mechanisms.

They lack information about where to go and whom to call to get advice and assistance.

Challenges are particularly felt in cases of sexual exploitation and abuse. These child rights violations are deeply associated with stigma, shame and secrecy, often aggravated by the fact that these offences are committed by people children trust and know—within institutions, in schools and also in the home.

Parents are often tempted to hide these incidents, believing that this way they protect their children and the image and unity of the family. Professionals lack the necessary training to identify early signals and address incidents of violence in an ethical, and gender and child sensitive manner. They lack guidance as to whether and how they are expected to report, or whom to refer the case to. And even when they are addressed, incidents of violence continue to be considered separately and subsequently by different professionals and through the lens of disconnected disciplines, creating renewed risks of re-victimization of the child and of jeopardizing children's safety and protection.

This report is an important step to gain better understanding of a still largely unexplored universe, which remains nonetheless vital for the safeguard of children's right to freedom from violence. The report distils a set of guiding principles derived from existing human rights standards, and puts forward practical recommendations to accelerate progress in making safe, child sensitive and effective mechanisms available for all children.

As a minimum, these mechanisms should be established by law as a core dimension of a well-functioning and well-resourced national child protection system. They should be guided by the best interests of the child and informed by children's experience and perspectives, and they should be well-publicized and made accessible to all children, without discrimination of any kind. Furthermore, they must guarantee children's safety, ensure confidentiality and provide prompt and speedy response and follow up.

These critical requirements are indispensable to help children feel empowered, supported, and reassured that they will be listened to in an ethical and safe manner, that their testimonies will not be disclosed or misused, and that their protection will not be put at risk.

The guiding principles and the recommendations put forward by this report constitute, in addition, an important reference for the process of ratification of and implementation of the third Optional Protocol to the Convention on the Rights of the Child on a Communications Procedure. For this reason, the text of the Optional Protocol, as adopted by the General Assembly in December 2011, is included in this publication.

We are confident that the findings, recommendations and guiding principles of the report will become a valuable reference for the consolidation of the right of the child to freedom from all forms of violence, including sexual violence, everywhere and at all times.



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## 1. Introduction

### 1.1. Context

In its resolution 13/20,<sup>1</sup> the Human Rights Council invited the Special Rapporteur on the sale of children, child prostitution and child pornography and the Special Representative of the Secretary-General on Violence against Children to report to the Council at its sixteenth session on effective and child-sensitive counselling, complaint and reporting mechanisms to which children can safely report incidents of violence, including sexual violence and exploitation. The Council invited them to cooperate with States and other relevant partners such as the Committee on the Rights of the Child, the Special Representatives of the Secretary-General for Children and Armed Conflict and on Sexual Violence in Conflict, national human rights institutions, United Nations agencies, regional organizations, civil society organizations and children themselves.

The need to establish safe, well-publicized, confidential and accessible mechanisms for children has been a serious concern voiced by both the Special Rapporteur on the sale of children and the Special Representative on violence against children and addressed by the United Nations study on violence against children.<sup>2</sup> Violence is seldom reported and in many circumstances children feel pressed to conceal it, particularly when it is perpetrated by people they know and trust. The United Nations study recommended that mechanisms be established, including telephone helplines, through which children can report abuse, speak to a trained counsellor in confidence and ask for support and advice. It underscored the need to make all children aware of such mechanisms, and recommended that in every locality and every setting there should be well-publicized and easily accessible services to investigate reports of violence against children.

Over the past years, the promotion of child-sensitive mechanisms has gained increasing relevance, including as a result of the recognition of the right to accessible and effective remedies by international human rights instruments and of the significant jurisprudence of treaty-monitoring bodies in this area. The Committee on the Rights of the Child has addressed this question in its dialogue with State parties and in several general comments, including the most recent on the right of the child to freedom from all forms of violence.<sup>3</sup>

Significant political commitments have also been made in this area. The declaration and call for action agreed upon at the Third World Congress against Sexual Exploitation of Children and Adolescents calls upon States to establish, by 2013, an effective and accessible system for reporting, follow-up and support for child victims of sexual exploitation.

Despite these significant developments, many challenges remain. In their missions across regions, both the Special Representative on violence against children and the Special Rapporteur on the sale of children have observed that counselling, complaint and reporting services are frequently unavailable and, when they exist, tend to lack the necessary resources and skills to address children's concerns and promote children's healing and reintegration. Moreover, children lack trust in them, fearing they will not be believed, and that they may endure further stigmatization, harassment, abandonment or reprisals if they make known any incidents of violence. In most cases, children are unaware of the existence of such services, lacking information about where to go and whom to call to benefit from advice and assistance, to overcome trauma and re-shape their lives. Overall, they feel uncertain as to whether and how impunity can be fought.

<sup>1</sup> A/HRC/RES/13/20, of 26 March 2010, para.17.

<sup>2</sup> United Nations study, A/61/299.

<sup>3</sup> General Comment 13 (CRC/C/GC/13), adopted in February 2011.

## 1.2. Objectives

With these concerns in mind, the report was developed with the following objectives:

- a) To provide an overview of available models of accessible and child-sensitive counselling, complaint and reporting mechanisms established at the governmental level, and as developed by independent institutions and civil-society and community-based organizations;
- b) To draw attention to positive developments, as well as challenges, in the use of such mechanisms, including in terms of accessibility, confidentiality, child participation, effectiveness, safeguard of privacy and protection of victims of violence, including sexual violence and exploitation;
- c) To highlight legal obligations, roles and responsibilities of State institutions and other key stakeholders;
- d) To make recommendations for the strengthening of effective, child-sensitive counselling, complaint and reporting mechanisms to protect children from violence.

## 1.3. Methodology

The report was developed with the following methodological approach:

- a) A literature review of relevant documentation;
- b) An expert consultation organized by the Office of the United Nations High Commissioner for Human Rights and the Office of the Special Representative of the Secretary-General on Violence Against Children, with the participation of the Rapporteur on the Sale of Children and a cross-regional group of governmental and non-governmental experts, national independent institutions for children's rights, United Nations agencies and the Committee on the Rights of the Child;
- c) Request for information sent to Permanent Missions to the United Nations, as well as to United Nations departments, funds, programmes and specialized agencies, and other regional and inter-governmental organi-

zations, which generated significant contributions.<sup>4</sup> A questionnaire circulated to UNICEF country offices also yielded significant information.<sup>5</sup> The NGO Advisory Council for follow-up to the United Nations study, the Danish Refugee Council and Plan International also provided valuable information to the report.

- d) Voices of young people were essential, including as derived from studies and surveys and children's recommendations, and from the experience of the authors of this report through their work and meetings held with young people;

Only a selection of the wealth of experiences and initiatives on which information was received is outlined in this report.

## 1.4. Conceptual and normative framework

### 1.4.1. Conceptual framework

The Convention on the Rights of the Child defines a child as a person under the age of 18. The Convention recognizes children's freedom from violence in several of its provisions,<sup>6</sup> especially in article 19 on freedom from all forms of physical or mental violence, injury or abuse, neglect or negligent treatment, maltreatment or exploitation, including sexual abuse while in the care of parent(s), legal guardian(s) or any other person who has the care of the child.

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<sup>4</sup> Replies were received from Argentina, Austria, Brunei Darussalam, Canada, Colombia, Cyprus, Germany, Greece, Hungary, India, Ireland, Lebanon, Lithuania, Mauritius, Mexico, Monaco, Pakistan, Portugal, Qatar, Russian Federation, Spain, Switzerland, Turkmenistan, Ukraine and Uzbekistan. United Nations contributions to the report were sent by: United Nations Integrated Peacebuilding Office in the Central African Republic (BINUCA), International Labour Organization (ILO), United Nations Interregional Crime and Justice Research Institute (UNICRI), United Nations Office on Drugs and Crime (UNODC), United Nations Assistance Mission for Iraq (UNAMI), United Nations Integrated Peacebuilding Office in Guinea-Bissau (UNIOGBIS), Office of the United Nations High Commissioner for Refugees (UNHCR), United Nations Children's Fund (UNICEF), Innocenti Research Centre, and the World Food Programme (WFP).

<sup>5</sup> Replies were received from 40 country offices in all regions.

<sup>6</sup> Arts.19, 28, para 2 and 37.

The term “counselling” covers: the provision of information and advice to empower children and support others acting on children’s behalf regarding all courses of action required to prevent or respond to incidents of violence; legal counselling; and psychological or psychosocial counselling, that is, therapeutic interventions designed to prevent, mitigate or repair the mental, moral and social damage caused by violence, including to help address feelings of fear, guilt, shame and confusion children may experience.

Information and advice provided to children should be conveyed in a manner adapted to their age, maturity and circumstances, in language children can understand and which is gender and culture-sensitive, and supported by child-friendly materials and information services.

There is no sharp conceptual distinction between complaints and reports. Complaints result from the failure to prevent violence and ensure the effective protection of victims. In the present report, the term “complaint” is used to refer to communications about violence made by victims or those acting on their behalf to some competent authority in the expectation of receiving protection, assistance or redress, including the investigation of incidents of violence and the imposition of sanctions against those found responsible. The term “reporting” is used here to refer to communications on incidents of violence made to the competent authorities by someone, be it a child or an adult, other than the victim.

The struggle to protect children from violence requires a holistic approach, involving: awareness-raising, prevention, law enactment and enforcement, and sound data and research; the protection, treatment, recovery and social reintegration of child victims; and the investigation and punishment of perpetrators. Although the present report is focused on counselling, complaint and reporting mechanisms, some brief comments on their links to other components of children’s freedom from violence are needed.

First, advocacy and awareness-raising on children’s right to freedom from violence<sup>7</sup> are essen-

tial to promote more effective mechanisms. If violence remains pervasive and socially accepted, most children will not complain about it, most adults will not report violence against children, and professionals may hesitate to act.<sup>8</sup>

Second, complaints and reporting on violence against children are intimately related to the larger issues of criminal and child protection proceedings. Whether complaints and reports lead to appropriate legal or other relevant action is a key indicator of their effectiveness. Unfortunately, many challenges prevail with a persisting lack of information on the outcome of child protection and criminal proceedings involving child victims, on the gap between incidents reported and cases handled by the courts and other competent authorities, and on the impact of such proceedings on the children concerned.

#### 1.4.2. The normative framework

International standards frame the development of counselling, reporting and complaint mechanisms. These include the Convention on the Rights of the Child and its Optional Protocols, significant regional human rights instruments, as well as decisive commitments on children. An overview of these standards and commitments is included in the annex to the present report.

<sup>7</sup> Convention on the Rights of the Child, art. 42.

<sup>8</sup> The CURE Project makes detailed and valuable recommendations regarding awareness-raising. Child Victims in the Union—Rights and Empowerment: A report of the CURE Project 2009-2010, European Union/The Crime Victim Compensation and Support Authority, Sweden, Umeå, 2010.

## 2. Current situation

States have a leading responsibility in safeguarding children's protection from violence, through counselling, complaint and reporting mechanisms. Many other actors promote this goal, including national human rights institutions, civil-society organizations, in particular NGOs and community-based organizations, professional associations, religious groups, foundations and the private sector. Moreover, child participation is vital to break the invisibility of violence and inform child-sensitive approaches, including the development of safe and effective counselling, reporting and complaint mechanisms.

### 2.1. Voices of young people

Children's views and recommendations helped shape the United Nations study and remain essential for its follow-up; they were also highly relevant in the special session of the General Assembly on children (8-10 May 2002) and in the three World Congresses against sexual exploitation of children and adolescents. Some of children's key recommendations include: increased access to information on the rights of the child and accessibility to child-friendly services, including telephone helplines with free access; establishment of child protection agencies in local communities to protect vulnerable children; and the creation of an ombudsman's office in each country.

During field missions and consultations held with children by the Special Representative and the Special Rapporteur, children complained about the lack or insufficient availability of such mechanisms and their inability to provide support in a safe, child-sensitive and effective

manner. They recognized that mechanisms are insufficiently known by children, who have limited access to information, particularly in rural or remote areas; mechanisms are largely inaccessible to vulnerable children, including those with disabilities or belonging to minorities. Children voiced their lack

of confidence in existing services and the fear that these may disclose children's privacy and put them at risk of further harassment and reprisals.

### 2.2. Child-sensitive counselling, complaint and reporting mechanisms

#### 2.2.1. Counselling mechanisms

Violence against children, including sexual violence, continues to be surrounded by stigma and secrecy. Access to counselling, complaint and reporting procedures is thus imperative for children as well as adults who have endured violence during their childhood.

Research emphasizes that very young children, as well as children belonging to other vulnerable groups are at particular risk of violence. For these children, special protection measures are essential to safeguard their rights and to ensure that they receive support from well-resourced institutions, services and professionals in a sensitive, relevant and ethical manner.

#### Developments at the national level

In many countries important initiatives have been undertaken to raise public awareness about the need to protect children from violence, to promote non-violent and positive child-rearing and parenting skills, and to encourage child rights training for relevant professionals. Children's skills in violence prevention are enhanced through child clubs and debates in school settings and peer-to-peer initiatives, such as trainings and awareness-raising offered by youth for young people in community spaces. These valuable initiatives enhance the understanding of what child victims may endure, and encourage those at risk to feel confident in seeking help and reporting incidents of violence.

Counselling mechanisms also provide children and adults with advice and information on where and how to seek advice and support, including to

lodge complaints or report incidents of violence.<sup>9</sup> In this regard, significant efforts have been deployed by Governments and members of civil society, including through media campaigns, distribution of flyers and information on hotline numbers and access to available services.

Most countries that contributed information to the present report indicated that dedicated arrangements for children were in place for counselling, reporting and complaints on incidents of violence against children. In some countries, ministries responsible for children and family affairs provide counselling services, including but not always specifically for children, and offer services such as emotional support, information, referrals and practical assistance. Several countries offer special counselling programmes for victims of crime, such as youth welfare offices and children's shelters with specialized professionals (for example, psychologists, social workers, lawyers), and provide multidisciplinary child protection teams in paediatric hospitals.

Counselling services are also available through community-based organizations. A number of countries also reported that counselling services are provided by multidisciplinary teams, including child protection specialists, law enforcement officers, health-care workers, social workers, and social pedagogues and psychologists working in schools. In almost all country contributions to the present report, telephone helplines are identified as an important aspect of counselling for children.

### Key lessons and persisting challenges

The analysis conducted for the present report highlighted important lessons which should inform work in this area:

a) Counselling is more effective when it is part of a broader approach that includes medical care, social assistance, legal services and financial or educational support. The support to be provided should be determined by a plan based on an assessment of the victim's needs

and circumstances, and implemented with close cooperation between service providers;<sup>10</sup>

b) Counselling should be provided to the child and the family, as other members may also be victims; those who have not suffered violence in person may well suffer fear, guilt or anxiety. If the perpetrator of violence is a family member, he or she also should be offered therapy, at least until such time as the competent authorities may decide to take other action; although children have the right to be protected from all violence, whether or not protection requires removal of the offender from the home depends on the circumstances. Finally, the ultimate reason the family should be offered counselling is that "helping the family will often help the child";<sup>11</sup>

c) Ideally, counselling should be offered by qualified professionals;<sup>12</sup> however, these are often scarce in many parts of the world. Specialized services for children are even scarcer, and often are lacking "in the vast majority of countries outside of Europe and the Americas".<sup>13</sup>

Violence can have significant and life-long effects on the child's mental health and development, and psychosocial support is critical for children's recovery. As noted in the Convention on the Rights of the Child, psychological recovery and social reintegration of child victims of violence should be promoted in an environment that fosters their health, self-respect and dignity (art. 39).

Psychosocial support should be provided by a "trusted, non-offending caregiver who takes the child's situation seriously".<sup>14</sup> Counselling must not only be child-sensitive, but should also be "culturally sensitive" and take into account gender and factors such as disability and ethnicity.<sup>15</sup>

<sup>9</sup> *Guidelines on Justice in Matters involving Child Victims and Witnesses of Crime* (Economic and Social Council resolution 2005/20).

<sup>10</sup> WHO *Prevention of Child Maltreatment, A Guide to Taking Action and Generating Evidence* (2006), pp.57-58.

<sup>11</sup> *Ibid.*, p. 56.

<sup>12</sup> *Ibid.*

<sup>13</sup> World Psychiatric Association et al., *Atlas of Child and Mental Health Resources*, (Geneva, WHO, 2005), p.17.

<sup>14</sup> WHO, *Preventing Child Maltreatment*, pp. 55-56

<sup>15</sup> *Ibid.*, pp. 56 and 67.

Information received for the present report reveals that counselling programmes for children still face significant challenges. In their submissions, Governments mentioned shortages of specialized personnel, lack of training and resources, and lack of cooperation between relevant agencies; and acknowledged that services are mainly provided to children and their families in urban areas.

Although in some countries the right to counselling is formally recognized, the services available are scarce and largely provided by voluntary agencies. Informal estimates indicate that less than 25 per cent of children in need of counselling services receive them.

Ensuring that all children, including those who have experienced violence, have access to child-sensitive and effective counselling is a daunting, long-term challenge. This neglected area should be strengthened, including through the promotion of sensitization and social-mobilization campaigns, the adoption of relevant legal, educational, and social measures, the training of relevant professionals, and the support of mental health services for children and adolescents. The empowerment and involvement of young people in the design and evaluation of these actions remains critical for their effectiveness.

### 2.2.2. Complaints mechanisms

At the national level, different bodies receive complaints from children whose rights may have been violated. They include judicial and administrative authorities, and national independent human rights institutions, including ombudspersons for children.

Complaints mechanisms serve various purposes, including ensuring the protection of victims and the prevention of renewed acts of violence. Some mechanisms are designed to impose criminal sanctions on the offender. Others, including within school, social-welfare, law-enforcement and correctional systems, impose administrative responsibility in order to deter future acts of violence, or are designed to compensate the victim for damages suffered. Providing the victim with assistance is not the main purpose of complaint mechanisms, although it may be part of the response to complaints.

The concept of “complaint” implies that the body competent to receive it has legal powers to take appropriate action. In this sense, complaints are not addressed to civil society organizations, although these organizations may offer advice or assist in bringing complaints forward to the competent authorities. The contribution of civil society to making complaints mechanisms known, accessible, effective and child-friendly cannot be underestimated.

### The right of children to lodge complaints

In some countries, legislation recognizes a broad general right of children to lodge complaints before public authorities. The effectiveness of such legislation is closely associated with children’s access to information on their right to access justice and to complain before a judicial or non-judicial mechanism. The Costa Rican Children’s and Adolescents’ Code, for example, provides that: “Persons under the age of majority shall have the right to seek shelter, help and advice when a threat to their rights entails a serious risk to their physical or spiritual health; and to receive timely assistance and protection from the competent authorities.”<sup>16</sup> The Paraguayan Children’s and Adolescents’ Code recognizes the right of children to personally request any public body or official to take action that is within their mandate or competence, and to receive a timely reply.<sup>17</sup> In Romania, Law No. 272/2004 on the protection and promotion of the rights of the child recognizes the child’s right to personally make complaints regarding violations of his or her fundamental rights<sup>18</sup> In Spain, the law recognizes children’s right to receive from the public authorities adequate assistance in guaranteeing respect for their rights, including the right to request protection or support from any public institution and to make complaints regarding violations of their rights to the public prosecutor or the Ombudsman.<sup>19</sup>

<sup>16</sup> Law No 7739, art 19, in Daniel O’Donnell, “The right of Children to be heard: children’s right to have their views taken into account and to participate in legal and administrative proceedings”, Innocenti Working Paper (Florence, Innocenti Research Center, 2009), p. 28.

<sup>17</sup> Law No. 1.680 of 2001, art. 26, in Donnell, “The right of children”, p. 28.

<sup>18</sup> Art. 29, para. 1, in “The right of children”, p. 27.

<sup>19</sup> Organic Law No.1/1996, art.10 in Donnell, “The right of children”, p.29.

Some laws recognize the legal standing of children to bring complaints to the attention of judicial authorities. Many of the children's codes adopted in Latin America recognize this right.<sup>20</sup> In the Philippines, child rights legislation expressly authorizes children to seek legal redress for violations of the rights recognized therein, including the right to be free from physical, sexual and psychological abuse.<sup>21</sup> The Children's Act (No. 38 of 2005) of South Africa recognizes the right of children to seek judicial remedy for violations or threatened violations of the Act or of constitutionally recognized rights.<sup>22</sup>

In some countries the exercise of this right is limited to older children. In Russia, for example, children 14 or older can take legal action to seek protection from their parents or other persons exercising parental authority.<sup>23</sup> In Tunisia, children over the age of discretion (13 years) may take legal action in "matters of special urgency and in the case of danger at home".<sup>24</sup>

Where the right to seek a judicial remedy is limited to older children, younger children may turn to administrative bodies, which may initiate legal proceedings if they consider it appropriate. In Ecuador, for example, where children over the age of 12 "may personally take legal action for the protection of their rights", younger children may request assistance to protect their rights when action concerning their legal guardian is needed.<sup>25</sup> In the Russian Federation and Belarus, children of any age may make complaints to the competent administrative authorities concerning parents or other persons acting in loco parentis.<sup>26</sup>

<sup>20</sup> See for example the Children's and Adolescents' Code of the Plurinational State of Bolivia, art. 213;

<sup>21</sup> Republic Act 7610 on the Special Protection of Children against Child Abuse, Exploitation and Discrimination, sections 27(a) and 3(a).

<sup>22</sup> In Donnell, "The right of children", p. 27.

<sup>23</sup> Non-Litigious Civil Procedure Act, art. 64; second periodic report of the Russian Federation (CRC/C/65/Add.5), para. 71 (o).

<sup>24</sup> Code for Civil and Commercial Procedure, art. 19, para. 1, in Donnell, "The right of children", p. 12.

<sup>25</sup> Children's and Adolescent's Code, art. 65, in Donnell, "The right of children", p. 28.

<sup>26</sup> CRC/C/65/Add.5, para. 71 (o); Belarus Child Rights Act (No. 2570-XII), art. 13, in "The right of children", p. 29.

## Administrative complaints

Some international instruments require the establishment of special complaints mechanisms within institutions for children. The Guidelines for the Alternative Care of Children, for example, provide that "children in care should have access to a known, effective and impartial mechanism whereby they can notify complaints or concerns regarding their treatment or conditions of placement".<sup>27</sup> The United Nations Rules for the Protection of Juveniles Deprived of Liberty indicate, inter alia, that children in closed facilities of any kind "should have the opportunity of making requests or complaints to the director", and the right to make complaints to administrative and judicial authorities, and to be informed of the response without delay.<sup>28</sup> Moreover, the Rules call for the establishment of an independent office, such as an ombudsman, to receive and investigate complaints made by juveniles deprived of their liberty and to assist in the achievement of settlements.<sup>29</sup>

Some countries have established specialized administrative procedures for children in specific contexts. In Slovenia, there is a procedure to investigate complaints regarding treatment of children by the police.<sup>30</sup> The Costa Rican Children's and Adolescents' Code recognizes the right of students to make complaints of physical, sexual or emotional abuse in schools.<sup>31</sup> According to the UNICEF survey, complaints procedures are available for law enforcement agencies, and also for the child welfare and school systems.

## Are complaints procedures child-sensitive?

Many norms and recommendations have been adopted on the sensitivity of proceedings concerning children affected by violence. They often focus on investigations and legal proceedings, although less on the receipt and handling of com-

<sup>27</sup> General Assembly resolution 64/142, annex, para. 99.

<sup>28</sup> General Assembly resolution 45/113, annex, paras. 75-76.

<sup>29</sup> *Ibid* para 77.

<sup>30</sup> Second periodic report of Slovenia (CRC/C/70/Add.19), para. 23.

<sup>31</sup> Arts. 66 (a), 67 and 68; see also the Ecuadorian Children's and Adolescents' Code, art. 41, in "The right of children", p. 33.

plaints, which are a critical initial stage of a legal or administrative process. In this regard, some principles should be respected:

- a) The right of the child to respect for his or her dignity at all times;
- b) The child's right to privacy and to participation in meetings or interviews in a secure and reassuring environment;<sup>32</sup>
- c) The best interests of the child as a primary concern;
- d) The child's right to protection from discrimination and to be treated in accordance with the principle of equality;
- e) Respect for the child's views in addressing the complaint;
- f) The child's right to be promptly provided with necessary information in an accessible language, including possible options and consequences of the complaint, procedures involved, and available protective measures and support services;
- g) Provision of appropriate professional or para-professional support to the child, including in overcoming the adverse physical, psychological and social consequences of the incident addressed by the complaint;<sup>33</sup>
- h) Decisions should be as expeditious as possible.<sup>34</sup>

Important community-based mechanisms have been established to address situations of violence against children, and to support women and children in their efforts to gain access to justice. The Paralegal Committees in Nepal work to prevent and address violence, including abuse and exploitation, and discrimination suffered by women and

children. They promote awareness-raising activities, early detection and prevention, and case facilitation and reconciliation, as well as monitoring and reporting. They act as watch groups and as a bridge between communities and service providers, and help promote change in social attitudes that condone violence and hamper children's rights.

Several countries produce child-friendly materials to help children submit complaints and seek redress, including on available judicial or non-judicial complaint mechanisms and applicable proceedings.

Some countries reported having special complaints procedures for children. Many have established an independent child advocate, ombudsman or commission responsible for representing children's rights, interests and viewpoints; in some cases, these institutions are competent to investigate complaints of child rights violations, to provide victims with redress, and to identify ex officio relevant areas of concern which they can pursue with competent authorities. Other measures include the establishment of children's courts and special police brigades, as well as legal safeguards to extend the age for submitting complaints and compensation claims beyond 18 years.

Replies from countries reveal, however, that very few have evaluated the child-friendliness of complaints and reporting procedures. This is an area where continued efforts should be pursued.

### Complaint procedures at the international level

In conformity with relevant human rights standards, complaint mechanisms have also been established at the international<sup>35</sup> and regional<sup>36</sup>

<sup>32</sup> Economic and Social Council resolution 2005/20, paras. 10-13 and 16.

<sup>33</sup> See for example the Optional Protocol to the Convention on the Rights of the Child on the sale of children, child prostitution and child pornography, art. 8, para. 1 (d), and Economic and Social Council resolution 2005/20, paras. 16 and 22.

<sup>34</sup> See for example the Optional Protocol on the sale of children, child prostitution and child pornography, art. 8, para. 1 (g), and Economic and Social Council resolution 2005/20, para. 30 (c).

<sup>35</sup> For example, article 77 of the International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families, and the Optional Protocols to the International Covenant on Economic, Social and Cultural Rights, the International Covenant on Civil and Political Rights, the Convention on the Elimination of All Forms of Discrimination against Women, the Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment, and the Convention on the Rights of Persons with Disabilities.

<sup>36</sup> For example, the Inter-American Court of Human Rights and the Inter-American Commission on Human Rights, the European Committee of Social Rights and the European Court of Human Rights.

levels. At present, an open-ended working group of the Human Rights Council is considering an optional protocol to the Convention on the Rights of the Child to establish a communications procedure.

Other human rights bodies and mechanisms, including special procedures, can receive allegations of children's rights violations falling within their mandate. In practice, submissions are virtually never received from children themselves.

### 2.2.3. Reporting mechanisms

#### Mandatory reporting

Education on the rights of the child and knowledge about how and to whom violence can be reported are essential dimensions of an effective reporting system which should be child sensitive, supported by effective and well-resourced services and respectful of children's rights.

In its general comment No. 13, the Committee on the Rights of the Child stresses that in every country the reporting of instances, suspicion or risk of violence should, at a minimum, be required by professionals working directly with children. When reports are made in good faith, processes must be in place to ensure the protection of the professional making the report.

Where reporting is mandatory, there are significant differences in the scope of the obligation to report. In some jurisdictions, the obligation is binding on all persons.<sup>37</sup> Where reporting is mandatory, there are significant differences in the scope of the obligation to report. In some jurisdictions, the obligation is binding on all persons. Where it applies to professionals who work with children, those most often covered are law-enforcement officers, social workers, teachers, childcare providers, medical doctors and other health-care workers.<sup>38</sup> Sometimes the

obligation extends to other professions, including drug counsellors and probation officers.

Various forms of mandatory reporting were addressed by Government contributions to the present report. In most cases, the obligation relates to sexual, physical and psychological violence.

However, whether reporting is mandatory or not, its effectiveness depends on the quality of services that are available if the report, on examination, is found to be grounded. Requiring suspected abuse to be reported serves little purpose if the child protection system is weak, or if there is excessive reliance on institutionalization.<sup>39</sup> The introduction of mandatory reporting has sometimes led to an increase in the number of reported cases that, following investigation, were not substantiated.<sup>40</sup> Legislation that leads to over reporting increases the burden on the child welfare system, reducing its capacity to provide assistance.<sup>41</sup>

It is argued that the stronger the links are between the child protection system and the community, the less need there is for mandatory reporting, because child welfare personnel will become aware of most cases of violence without it. Mandatory reporting may also create an adversarial relationship between families and child protection authorities,<sup>42</sup> and discourage families from seeking assistance voluntarily; and it can stigmatize families affected by extreme poverty and unemployment when the scope of mandatory reporting includes neglect.<sup>43</sup> These concerns underline the need for mandatory reporting to be adapted to the needs and circumstances of each society.

Reporting by professionals who work with young children is especially important; these children are more susceptible to injury and unable to make complaints, and early detection and intervention

<sup>37</sup> In the United States of America, such laws exist in 18 states (as at April 2010). See [www.childwelfare.gov/systemwide/laws\\_policies/statutes/manda.cfm](http://www.childwelfare.gov/systemwide/laws_policies/statutes/manda.cfm).

<sup>38</sup> Of the replies to the UNICEF survey, 8 mention mechanisms that specifically address violence within schools; 10 mention mechanisms that address violence within the child welfare system; and 13 mention mechanisms that address violence within the law enforcement system.

<sup>39</sup> WHO, Preventing Child Maltreatment, p. 62.

<sup>40</sup> M. Harries et al., "Mandatory reporting of child abuse: evidence and options" (University of Western Australia, 2002), pp. 14-15.

<sup>41</sup> WHO, Preventing Child Maltreatment, p. 59.

<sup>42</sup> *Ibid*, p. 62.

<sup>43</sup> Harries, "Mandatory reporting", p. 42.

can help reduce the risk of repeated abuse and long-term health and social consequences.<sup>44</sup>

The authors of the present report consider that some form of mandatory reporting, including the reporting by professionals who work with children, of sexual abuse and acts of violence causing physical injury and psychological violence is appropriate for all societies.

### **Mandatory reporting within specific systems**

Mandatory reporting often presumes that a professional is in possession of information about violence caused by a third party with whom she or he has no institutional links. But mandatory reporting is also relevant in cases when acts of violence are committed by a colleague. The United Nations Rules for the Protection of Juveniles Deprived of Liberty indicate: “Personnel who have reasons to believe that a serious violation of the present Rules has occurred or is about to occur should report the matter to their superior authorities or organs vested with reviewing or remedial power.”<sup>45</sup>

The Guidelines for the Alternative Care of Children provide that all agencies and facilities for children in need of alternative care “should develop a staff code of conduct ... [that] includes clear reporting procedures on allegations of misconduct by any team member” (para. 107).

Reporting is particularly important for those who provide medical or psychosocial assistance within residential facilities. The failure of such personnel to report evidence of violent treatment contributes to an atmosphere of impunity that can easily lead to a persistent pattern of violence.

In some countries, such as in the United States of America, federal law requires Internet service providers who have actual knowledge of child pornography on their servers to report such information to the CyberTipline of the National Center for Missing and Exploited Children.

The authors of the present report consider that standards establishing an obligation to report vio-

lence should be incorporated into the regulations or rules of conduct of all institutions or agencies that deal on a regular basis with children at risk of violence, such as residential facilities, schools, medical services for children and law enforcement bodies.

### **Voluntary reporting**

Several countries contributing to the present report mentioned the adoption of special measures to encourage the reporting of violence against children, including sexual violence perpetrated by tourists. One country reported that every citizen had an obligation to file a complaint in cases of child rights violations; another reported having in place legislation to protect those who report child abuse. In some countries, service providers in the child protection system or schools are obliged to signal a situation to the child welfare system, setting in motion procedures in cases of child abuse. In others, there are channels that allow children themselves to report a risky situation, through telephone hotlines established by such entities as the ombudsman. Some countries have special e-mail addresses or toll-free numbers, including for reporting suspected cases of child sexual exploitation of children on the Internet. One country reported that it is currently conducting an assessment of the obstacles faced by victims of crimes to make complaints.

Two thirds of the UNICEF country offices that responded to the questionnaire reported that the country in which they were based had established a programme to encourage voluntary reporting. However, most also reported that the law does not protect persons who make a report.

Another example of voluntary reporting is the Code of Conduct for the Protection of Children from Sexual Exploitation in Travel and Tourism. Tour operators and tourism organizations that adopt and sign the Code of Conduct commit to informing customers on their child protection policy, to training staff, to reporting and to applying other measures to protect children.

One legislative measure that encourages voluntary reporting is the recognition of the confidentiality of the identity of persons who report

<sup>44</sup> WHO, *Preventing Child Maltreatment*, p. 51.

<sup>45</sup> Para 87(c).

violence. The Council of Europe Convention on the Protection of Children against Sexual Exploitation and Sexual Abuse requires States parties to ensure that the rules of confidentiality of information imposed on professionals who work with children do not constitute an obstacle to their reporting to child protection services; and to encourage “any person who knows about or suspects, in good faith, sexual exploitation or sexual abuse of children to report these facts to the competent services” (art. 12, paras. 1 and 2).

The authors of the present report consider that rules that protect the identity of professionals and private individuals who, in good faith, bring cases of violence against children to the attention of the competent authorities should be enacted into law, with respect to all forms of violence against children.

#### 2.2.4. Interdisciplinary teams

In a growing number of countries, interdisciplinary teams respond to complaints and reports of violence against children. They help maintain the credibility and reliability of the child’s testimony, while securing children’s well-being and safety throughout the case. They limit the number of interviews the child may be subject to, and the risks that may be associated with this process. Some good practices are described here.

The Thuthuzela Care Centres (TCCs) were introduced as part of a strategy by South Africa to address sexual violence against women and children. A TCC is a one-stop shop where victims are provided with comprehensive services such as counselling, interviews, medical examination, court preparation and investigation in a holistic, integrated and victim-friendly manner. Through ongoing coordination with relevant stakeholders, including police, health-care professionals, prosecutors, social workers and NGOs, the ultimate goal of the TCC is to address the social and medical needs of the victim, reduce secondary victimization, improve conviction rates and reduce the lead time for the finalization of cases. The Thuthuzela Information Management System enables TCCs to become proactive, to test emerging models for efficiency and effectiveness, to develop corrective

and rehabilitative offender programmes and to strive for integration rather than only punishment.

In Iceland and Sweden, Barnahus (“Children’s Houses”) have been established to provide children with assistance while also obtaining evidence that can be used in legal proceedings. Interviews with child victims of violence are held in a child-friendly environment, avoiding multiple interviews, enhancing the value of the evidence obtained and providing therapy and support. The model was adapted from the United States, where some 600 Children’s Advocacy Centers exist. With specially trained psychologists and social workers, the centres operate under a board composed of representatives of the relevant agencies, including the police, local hospital and social work authority. A 2008 evaluation of Swedish Barnahus found that “the position of the child in the legal process was bolstered and the child’s perspective is considered in a higher degree than before. An increasing number of interviews and medical examinations took place and the children have been given better treatment and care in the acute crisis.”<sup>46</sup>

Recognizing the invisibility of cases of violence and sexual violence against children, and the critical difficulties faced by victims in accessing justice, the Supreme Court of Argentina established in 2008 an Office of Domestic Violence. The Office operates 24 hours per day, 365 days per year. Cases reported, including 28 per cent by children, involved incidents of physical violence (67 per cent), sexual violence (13 per cent) and psychological violence (90 per cent). Children are received in a child-friendly room, and interviews are videotaped and conducted by a multidisciplinary team. Cases may be referred to criminal or civil authorities and/or to public health services. The establishment of the Office has drastically reduced delays in the consideration of these cases.

#### 2.2.5. Civil society and community-based mechanisms

Civil society and community-based organizations, including NGOs, professional associations, reli-

<sup>46</sup> Child Rights in the Union (note 7 above), citing K. Åström and A. Rejmer, p. 127.

gious groups, foundations and the private sector, often play a pivotal role in helping children access counselling and complaints systems, and in developing effective mechanisms for reporting violence against children.

For example, the Philippine Mental Health Association is a professional association with a significant role in assisting child victims of violence, and in prevention and awareness-raising. Through the Youth Life Enrichment Program it organizes workshops to teach life skills to young people, leading to the establishment of over 90 mental-health clubs in secondary schools throughout the country, whose members are trained in peer counselling.<sup>47</sup>

In Ethiopia, the African Network for the Prevention and Protection against Child Abuse and Neglect provides counselling and support to children in police stations.<sup>48</sup> In Chad, student committees and parent-teacher associations participate in the identification and response to child protection concerns. In case of repeated child absence from school or signs of abuse or neglect, home visits are promoted to monitor the child's situation. Such committees facilitate the identification of children at risk, who can then be referred to appropriate actors.

The Dar el Aman ("house of security") child protection centre in Jordan provides therapy and protection to children who have experienced physical, sexual and/or psychological abuse. Some are referred by courts and others seek help through a hotline. Similar centres have been established in other countries in the region.<sup>49</sup>

In Pakistan, Lawyers for Human Rights and Legal Aid established a centre (Madadgaar) that provides shelter, medical and psychological counsel-

ling and legal services to child victims of violence. The Society for the Protection of the Rights of the Child established Child Rights Committees at the district level, staffed by professional social workers who provide counselling and assist victims to make complaints to the competent authorities. The Pakistan Pediatric Association, together with Pakistani NGOs, participates in the implementation of the National Plan of Action against Child Sexual Abuse and Exploitation.

An inter-agency study was undertaken regarding global evidence on community based child-protection groups and their impact. It found that community-based child protection groups played a role in raising awareness about risks to children's protection and well-being; in mobilizing communities to respond to and prevent those risks, helping to develop local solutions to problems and referring difficult cases; and in organizing psychosocial support for children. Illustrating the importance of partnership with public services, most groups networked with elements in the formal child protection system, such as police, magistrates, district- and national-level committees, and social services and education officials. Many also networked with elements in non-formal systems, such as traditional justice mechanisms.<sup>50</sup>

Lessons identified in other studies include the need to secure the sustainability of these efforts by encouraging community "ownership", and to avoid interventions that may inadvertently stigmatize victims. Cooperation between community-based organizations and governmental agencies, in the form of training, clear definition of roles, coaching, case review and monitoring, can help reduce the risk of inappropriate interventions. Community based organizations can help monitor complaint mechanisms, to ensure that they are child-sensitive and effective. The participation of children themselves can help ensure that services, in particular outreach to make victims aware of

<sup>47</sup> K.M. Hong et al., "Systems of care in Asia", in *Facilitating Pathways: Care, Treatment and Prevention in Child and Adolescent Mental Health*, Remschmidt, Belfer and Good-year, eds. (Heidelberg and New York, Springer, 2004), p. 64.

<sup>48</sup> *Handbook for Professionals and Policy Makers on Justice in Matters involving Child Victims and Witnesses of Crime*, (United Nations publication, Sales No. E.10.IV.1), p. 52.

<sup>49</sup> D. Gavlak, "Child maltreatment comes out of the shadows", *Bulletin of the World Health Organization*, vol. 87, no.5, (May 2009), pp. 325-404.

<sup>50</sup> Inter-agency Reference Group, *What are we learning about protecting children in the community? An Inter-agency Review of Evidence on Community-based Child Protection Mechanisms* (London, Save the Children, 2009).

complaints mechanisms and services, are more effective.<sup>51</sup>

The cooperation of civil society with government can take many forms. One important lesson is that community-based child protection groups should act in association with the national child protection system where it exists, rather than become a parallel structure.

### 2.2.6. Child Helplines

Dedicated telephone numbers that can be called anonymously and at no cost to seek advice about incidents of violence have been established in many countries throughout the world. Helplines can be used by victims, by other persons who know of or suspect violence, and even by persons who commit violence and wish to seek help.

Some are specialized in specific types of violence (for example sexual violence, domestic violence, or all violence against children), while others deal with a wide range of concerns. Some are provided by NGOs and others by governmental agencies.<sup>52</sup>

Some helplines convey information and advice about where to turn and what the authorities can do. Others provide informal emergency psychological support. Still others can forward information transmitted by the caller to those responsible for taking action.

Services are starting to use new technologies, such as the Internet or text messages (SMS). In Switzerland, for example, each day some 400 children are given advice by SMS and some 1,000 receive on-line advice.<sup>53</sup> In 2010, Austria, Germany and Switzerland opened an Internet service allowing the public to report suspected cases of sexual

exploitation of children in tourism.<sup>54</sup> Canada has a website for reporting Internet child pornography.<sup>55</sup>

According to Child Helpline International, representing helplines from over 100 countries, violence is one of the main reasons for which children contact helplines. In its 2010 International Report on Violence against Children, the organization recorded more than 250,000 incidents of violence and abuse amongst the 62 helplines contributing to its survey. Most reports concerned children aged 10-15 years old, in particular girls. Physical abuse and bullying are most reported on, followed by neglect, sexual abuse and emotional violence.

The authors of the present report recognize that while the primary responsibility for child protection lies with national Governments, child helplines represent a unique opportunity to support government action. These should be identified as a core component of comprehensive, robust and integrated national child protection systems, as a much needed resource for children, as a generator of data and information, and also as a referral system for children in need of advice and assistance.

### 2.2.7. Reaching the most vulnerable

An important area for partnership between civil society and governments concerns the provision of safe mechanisms for particularly vulnerable children, who are often hard to reach through government services. Marginalized children include those lacking parental care, those placed in institutional settings or in detention, those living and working in the streets, those with disabilities, those in extreme poverty, those trapped in child labour, or those on the move, including as migrants or asylum-seekers, as well as children in situations of armed conflict.

Incidents of violence, including sexual violence, are exacerbated in conflict situations by a general security vacuum and the lack of administrative, law-enforcement and judicial infrastructures, among other factors. As noted by the Special Rep-

<sup>51</sup> E. Jareg, *Listening, Learning, Acting: Preventing and Responding to Violence against Children in Homes and Communities* (Kathmandu, Save the Children, 2008), chaps. 4-5.

<sup>52</sup> For example, in Mauritius, the hotlines are provided by the police and the Ministry of Gender Equality, Child Development and Family Welfare, while in Switzerland they are provided by the foundation Pro Juventute.

<sup>53</sup> Government of Switzerland.

<sup>54</sup> Government of Austria. The service is based on a tool developed by the NGO ECPAT International.

<sup>55</sup> Government of Canada.

representative on Sexual Violence in Conflict, “sexual violence in conflict is a political, security and human rights issue that demands a political, security and human rights response”.<sup>56</sup>

Rape and other sexual violence against children are under scrutiny by the Security Council monitoring and reporting mechanism on children and armed conflict. According to reports of the Special Representative of the Secretary-General for Children and Armed Conflict, children are particularly vulnerable to sexual violence in and around refugee and internally displaced population settings, and when associated with armed forces and groups.<sup>57</sup> Girls remain the main victims of sexual violence in armed conflict, but there are increasing reports of sexual abuse against boys. Collecting data and reporting on sexual violence against children in armed conflict remains a significant challenge. As noted by the Special Representative: “these acts are considered in many contexts as strong taboos, and as such survivors and communities are not encouraged to speak out. The lack of trust in the judicial process and the fear of reprisals accentuate the culture of silence.”<sup>58</sup>

Children in extreme poverty may not only be hard to reach due to geographic remoteness or social exclusion, but also often suffer from a deep lack of self-esteem. Victims of bullying, humiliation and abuse, they feel powerless to speak up, and fear not being believed when reporting incidents of violence, or being blamed for having caused them in the first place.

Once in the streets, children become highly vulnerable to violence and exploitation. Many have run away due to psychological, physical or sexual abuse suffered in the home, while others have remained in contact with their families and work in the streets in order to supplement the family income.

The placement of children in institutions remains high in many countries. Institutions are often not

registered, norms and standards regulating their activities are frequently lacking, and monitoring systems remain weak and dispersed. These cumulative factors compromise children’s access to safe complaint and reporting mechanisms.

Research data is limited, but some evidence indicates that children with disabilities may be up to five times more likely to experience physical, psychological, or sexual violence,<sup>59</sup> less likely to be addressed by prevention programmes or to attract targeted protection services, and less able to challenge or protect themselves from incidents of violence. This explains, inter alia, the special barriers in accessing justice and being accepted as credible witnesses, and the pervasive impunity of abusers. The universal ratification of the Convention on the Rights of Persons with Disabilities will be an essential step to secure the protection of these children from violence.

Children on the move, including children who migrate, who are refugees or asylum-seekers are also at special risk of enduring violence without benefiting from counselling or having access to reporting or complaint mechanisms. According to the World Bank, approximately one third of migrants from developing countries are between the ages of 12 and 24.<sup>60</sup> At times on their own, unable to speak the language and lacking information about existing child protection services, they are often afraid of approaching the authorities and of being deported, especially when undocumented. As a result, they may place themselves at further risk of violence and exploitation.

The authors of the present report recognize the urgency of rendering counselling, reporting and complaint mechanisms accessible, effective and sensitive to vulnerable children and their families. Thus, cooperation with non-governmental and community-based organizations may offer a solid basis for effective action.

<sup>56</sup> General briefing to the Human Rights Council, on 10 February 2011. Available from [www.stoprapenow.org/uploads/whatsnews/humanrightscouncilstatement.pdf](http://www.stoprapenow.org/uploads/whatsnews/humanrightscouncilstatement.pdf).

<sup>57</sup> A/64/254 and A/65/219.

<sup>58</sup> A/65/219, para. 23.

<sup>59</sup> Committee on the Rights of the Child, general comment No. 9, para. 42.

<sup>60</sup> World Bank, *World Development Report 2007: Development and the Next Generation* (Washington D.C., 2006), p. 14. para. 42.

### 2.3. The role of national independent human rights institutions for children

National independent human rights institutions for children play a critical role in the promotion and protection of children's freedom from violence. The Committee on the Rights of the Child consistently recommends the establishment of these institutions, including powers to consider individual complaints and carry out investigations, and secure effective remedies for breaches of children's rights.<sup>61</sup>

There are some 122 statutory national human rights commissions throughout the world, some with a distinct mandate on the rights of the child.<sup>62</sup>

#### 2.3.1. Mandate

Independent human rights institutions for children (IHRICs) have a comprehensive child rights mandate, conducting research and awareness-raising campaigns, promoting law and policy reforms, and assisting child victims. Those with a mandate to receive individual complaints address violence against children committed by professionals working with children, including teachers, staff of children's homes, police officers and other public servants. These mechanisms are non-adversarial, based on dialogue and mediation, and seek to find negotiated solutions guided by the child's best interests. Currently, only some IHRICs have the power to consider individual complaints and petitions and carry out investigations, including those submitted on behalf of or directly by children.

Several IHRICs can take cases to court or alert the prosecutor, in particular when a child is in danger and an urgent judicial decision is needed.

#### 2.3.2. Accessibility

Compared with the judicial system, IHRICs are generally more accessible as their complaint system is free of charge, does not require a lawyer, and is less formal and simpler to use. Accessibil-

ity is enhanced through local offices for the identification and transmission of cases. Some IHRICs proactively reach out to children, including by visiting schools, detention and care centres and other places where children spend time, including in remote areas. Most IHRICs can carry out investigations on their own initiative. Confidentiality is a critical element of this process.

To ensure child-sensitive approaches and easily communicate with children, IHRICs promote child-friendly premises, in-service training and the use of new technologies, including websites and social media. Some offices have complaint forms online and host online forums for children.

Involving children in the work of these institutions has led to major breakthroughs. In some cases, a "Youth Advisory Panel"<sup>63</sup> or elected student representatives<sup>64</sup> help familiarize their peers and classmates with their work and make complaints procedures more accessible.

In some instances, the law provides that the IHRIC can only handle a complaint filed by a child once permission from a parent or legal guardian is granted.<sup>65</sup> However, in cases where parents or legal guardians may be the source of abuse, children are allowed to choose an adult they trust. In other countries, the child's permission needs to be sought to pursue a complaint filed on his or her behalf.

#### 2.3.3. Effectiveness

Strong investigative powers are vital to the effectiveness of IHRICs and their ability to respond to complaints. These include the power to compel witnesses to testify and request evidence. To be effective, these need to be included in relevant legislation and be enforceable by tribunals in case of non-compliance. In several countries, IHRICs were created with a view to improving the ability of the child protection system to monitor and respond to cases of child abuse.<sup>66</sup>

<sup>61</sup> General Comment 2 (2002), para. 13.

<sup>62</sup> National Human Rights Institution Forum, [www.nhri.net](http://www.nhri.net), accessed on 16 November 2010.

<sup>63</sup> For example in Ireland, Wales and New Zealand.

<sup>64</sup> For example in France.

<sup>65</sup> For example in Ireland.

<sup>66</sup> This is particularly the case in North America, Australia and New-Zealand.

When handling a child rights complaint, time is of the essence. The limited formalities involved in addressing complaints mean that they can be processed faster. The status of the independent institution in the country and institutional collaboration with high-level decision-making bodies facilitate rapid solutions to urgent cases.

IHRICs have a critical mandate anchored in the Convention on the Rights of the Child and guided by the child's best interests. But they require adequate resources, institutional cooperation with other actors and independence in the performance of their mandate. Their effectiveness is also deeply dependent on the level of trust they generate among young people.

## 3. Conclusions and Recommendations

### 3.1. Conclusions

Important international and regional human rights standards provide a sound framework for the development of strong, safe and effective counselling, reporting and complaint mechanisms to address incidents of violence against children. As agreed upon at the Third World Congress against Sexual Exploitation of Children and Adolescents, these mechanisms should be set up in all countries by 2013.

At the national level, significant efforts have been undertaken, both by Governments, which have the leading responsibility for child protection, and by other key stakeholders, including independent human rights institutions for children, civil society and community-based organizations. Yet, these efforts remain fragmented and insufficient to secure children's protection from violence. Urgent steps are needed to establish and strengthen these mechanisms in conformity with relevant international human rights instruments and political commitments undertaken by States in this area. These measures are critical to develop robust child protection systems in which violence is effectively addressed, and children's dignity and fundamental rights are respected.

As confirmed by the analysis conducted for the present report, too often, available mechanisms lack a basis in law and have no clear definition of their mandate, role and responsibilities. National plans of action on children, or specifically on violence against children, address these mechanisms in a sporadic manner and lack the necessary resources to ensure their timely and effective intervention. Evaluation of these mechanisms is rare, and so is the assessment of the effectiveness of follow-up measures, or of the impact on children of decisions taken in response to violence.

### 3.2. Guiding principles

It is urgent to develop effective, child-sensitive counselling, reporting and complaint mechanisms, universally available to and accessible by all children, without discrimination of any kind.

These mechanisms are a core component of the national child protection system, and should be anchored in child-rights laws and policies, supported by integrated services for children, and pursued with initiatives to change social norms, attitudes, behaviours and practices that condone violence. It is critical to address the root causes of tolerance of violence against children, reasons for underreporting, and barriers for accessing available services.

Children need to feel empowered, to obtain the required information about their rights to access and to make effective use of these mechanisms; they need to feel reassured that they will be listened to in an ethical, safe and confidential manner, that their testimonies will not be disclosed or misused, and that their protection will not be put at risk. Children's participation in these efforts should be secured, including in the design and development of these mechanisms.

Counselling, complaint and reporting mechanisms should, at a minimum

- a) **Be established by law and in conformity with international human rights instruments**, with clearly defined roles and responsibilities for governmental departments, and well-defined standards for the actions of other institutions, services and facilities responsible for the care or protection of children;
- b) **Be made widely available and well-publicized** through appropriate and active means to children and adults, including those acting on children's behalf;
- c) **Be accessible to all children** under the jurisdiction of the State, without discrimination of

any kind, while being age appropriate and gender sensitive, and granting special protection to children at risk;

- d) **Be guided by the best interests of the child and informed by children's experience and perspectives;**
- e) **Guarantee the safety of children,** through, inter alia, measures to avoid any risk of harm, intimidation, reprisals or revictimization;
- f) **Ensure the confidentiality of proceedings and respect children's right to privacy;**
- g) **Provide prompt and speedy response and follow-up,** to, inter alia, avoid adverse consequences to those concerned.

### 3.3. Recommendations

Where mechanisms do not exist, States should establish by law, and promote and implement safe, child-sensitive counselling, complaint and reporting mechanisms in compliance with the guiding principles and requirements mentioned above, as a core dimension of a well-functioning and well-resourced national child protection system, which is universally available to and accessible by all children without discrimination of any kind. Such mechanisms should be grounded in a solid legal framework framed by international standards, prohibiting all forms of violence against children, and safeguarding the rights of child victims and witnesses, and should be provided with the necessary financial means and well-trained human resources to act in a timely, ethical, child-sensitive and effective manner.

Where mechanisms exist, it is critical to secure their availability to and accessibility by all children, without discrimination, and to ensure that they act in an ethical, effective and child-sensitive manner and pursue the best interests of the child at all times. To this end:

- a) **Laws, policies and guidelines** should be developed in conformity with international human rights standards, and clearly define the roles and responsibilities of relevant bodies, institutions and professionals involved with counselling, reporting and complaint ser-

vices for children affected by violence; the rights and legal safeguards of child victims and witnesses should be clearly spelled out, including with a view to avoiding any risk of revictimization through harassment or reprisals. Those working with children should have clear guidance on reporting requirements and consequences. Mandatory reporting responsibilities should be defined with respect for children's rights, including to confidentiality and privacy; standards establishing an obligation to report violence should be incorporated into regulations or rules of conduct of all institutions and agencies that deal with children at risk of violence. Mandatory reporting by professionals who work with children of sexual abuse and other acts of violence causing physical injury and psychological violence should be given due consideration by all countries. Rules that protect the identity of professionals and private individuals who bring cases of violence against children to the attention of the competent authorities should also be enacted into law;

- b) **An institutional, coordinated and integrated response** should be pursued across relevant sectors, including justice, law enforcement, social welfare, education and health. This effort should be supported by: (i) initiatives to enhance staff capacity in child-sensitive case management; (ii) putting in place an effective system of referral of complaints to appropriate authorities, with obligations to investigate where a child may be at risk, and enabling relevant authorities to intervene to protect children in danger; and (iii) child-sensitive judicial proceedings;
- c) **National human rights institutions** should be established in all countries by 2013 to safeguard children's freedom from violence; they should provide for, inter alia, child-sensitive counselling, reporting and complaint mechanisms; independent monitoring of measures to address violence; and effective remedies and redress, including the possibility of seeking advice and filing complaints before these institutions;

- d) **Capacity-building and training initiatives** should be developed to ensure that those working with and for children have the necessary knowledge and skills, and abide by child rights principles and ethical standards when providing children with counselling when interviewing or supporting them in reporting and complaint proceedings or in relevant recovery and reintegration programmes. These efforts should promote a collaborative and integrated approach among relevant professionals, including in the medical, legal, social and educational areas. Where not available, mental health systems for children and adolescents should be developed;
- e) **Awareness-raising efforts** should be promoted to inform children and their families about children's rights, and information on effective remedies to address incidents of violence and on available services for assistance and support should be well publicized. Information should be made available on where to benefit from confidential advice, and on how and where to report incidents and lodge complaints. Information and services that are age- and language-appropriate and gender- and disability-sensitive should be put in place to enable access and ensure support to all children without discrimination of any kind;
- f) **Safety and confidentiality** should be safeguarded to protect children and their representatives from any risk of reprisal when complaints are lodged or incidents of violence reported, and to ensure that all actions are carried out in the best interest of the child and with children's involvement and informed consent. Children must be confident that no information will be shared without their consent and their confidentiality will not be breached, unless there is a well-founded belief that doing so would be necessary to protect them or others from serious harm;
- g) **Access by children and those acting on their behalf to international and regional mechanisms** should be supported when domestic legal remedies fail to protect children; the drafting of the new optional protocol to the Convention on the Rights of the Child on the establishment of a communications procedure<sup>67</sup> provides an essential avenue for addressing incidents of violence through safe and child-sensitive proceedings;
- h) **Data and research** should be strengthened to overcome the invisibility of violence and the persistent lack of information on the outcome of violence-related cases and to evaluate the impact of relevant initiatives on the children concerned. Children's views and experience should inform this process;
- i) **Monitoring and evaluation** should be strengthened, including for ensuring respect for high professional and ethical standards, and enhancing the effectiveness and positive impact of those mechanisms. With this aim, confidential exit interviews should allow to collect views and comments of children who have used these mechanisms. Hearing these views and responding to them in the development of mechanisms and services is an essential way to move towards greater child sensitivity.

<sup>67</sup> The new Optional Protocol on a Communications Procedure was adopted by the General Assembly, on 19 December 2011, through its resolution A/RES/66/138.

## 4. Overview of international and regional standards and commitments concerning child-sensitive counselling, reporting and complaint mechanisms to address incidents of violence against children, including sexual violence and exploitation

### 4.1. International standards

Important international standards frame the development of counselling, reporting and complaint mechanisms. These include the Convention on the Rights of the Child and its Optional Protocols, and significant regional human rights instruments.

The Convention on the Rights of the Child recognizes the right to freedom from violence in its many forms and promotes a comprehensive system of child protection to address this phenomenon; it calls on violence prevention, on victims' identification, treatment, recovery and reintegration, as well as on the referral and investigation of incidents of violence. Several articles of the Convention on the Rights of the Child address children's protection from violence, particularly articles 19, 24, 28, 34 to 36, 37 and 39.

The normative foundation provided by the Convention and its Protocols has generated significant legal reforms in countries in all regions aiming at the legal prohibition of all forms of violence against children, in any setting where violence may occur. Legislation is also needed to recognize children's right to complaint procedures and remedies to address breaches of their rights and to support children's access to courts and independent children's rights institutions, such as an Ombudsman or a person of a comparable status. Children should know who these persons are, how to access them and what can be expected from this process.<sup>68</sup>

This is an area addressed by core international human rights standards and to which the Committee on the Rights of the Child has devoted continued attention. In its most recent General Comment on The Right of the Child to Freedom

from all Forms of Violence<sup>69</sup>, the Committee included important guidance on these mechanisms, strongly recommending that "all States develop safe, well-publicized, confidential and accessible support mechanisms for children, their representatives and others to report violence against children, including through the use of 24-hour toll free hotlines and other information and communication technologies. The establishment of reporting mechanisms includes: providing appropriate information to facilitate the making of complaints; participating in investigations and court proceedings; developing protocols which are appropriate for different circumstances and made widely known to children and the general public; establishing support services for children and families; and training and providing on-going support for personnel to receive and advance the information received through reporting systems. Reporting mechanisms must be coupled with, and should present themselves as help-oriented services offering public health and social support rather than as triggering responses which are primarily punitive. The children's right to be heard and to have their views taken seriously has to be respected. In every country reporting of instances, suspicion or risk of violence should, at a minimum, be required by professionals working directly with children. When reports are made in good faith, processes must be in place to ensure the protection of the professional making the report."

Previously, the Committee had highlighted that<sup>70</sup> States need to ensure that there are effective, child sensitive procedures available to children and their representatives, including the provision of child friendly information, advice, advocacy and

<sup>68</sup> General Comment 12, CRC/L/GC/12.

<sup>69</sup> General Comment 13 adopted in February 2011.

<sup>70</sup> CRC/GC/2003/5, paragraph 24.

access to independent complaints procedures and to the courts with the appropriate legal and other assistance; and national human rights independent institutions should ensure that children have effective remedies for the breaches of their rights, including independent advice, advocacy and complaints procedures.<sup>71</sup>

Where rights are found to have been breached, there should be appropriate reparation, including compensation and, where needed, measures to promote physical and psychological recovery, rehabilitation and reintegration.

When incidents of violence take place, the Convention calls for “the establishment of social programmes to provide necessary support for the child and for those who have the care of the child, as well as for other forms of prevention and for identification, reporting, referral, investigation, treatment and follow-up of instances of child maltreatment described heretofore, and, as appropriate, for judicial involvement.”<sup>72</sup> The Convention further calls for measures designed to “promote physical and psychological recovery and social reintegration of a child victim of any form of neglect, exploitation, or abuse; torture or any other form of cruel, inhuman or degrading treatment or punishment; or armed conflicts”.<sup>73</sup> “Such recovery and reintegration”, it adds, “shall take place in an environment which fosters the health, self-respect and dignity of the child.”

The Optional Protocol to the Convention on the Sale of Children, Child Prostitution and Child Pornography, in force in 158 States<sup>74</sup>, requires States Parties to adapt legal and investigative procedures to the special needs of child victims, including their needs as witnesses, to provide support services, inform them of their rights, their role and the scope, timing and progress of the proceedings, avoid unnecessary delay, allow their views, needs and concerns to be presented and considered, protect their privacy and protect them against intimidation and

retaliation.<sup>75</sup> It also underscores that the best interest of the child shall be a primary consideration in the treatment of victims in the criminal justice system, and that the persons who work with victims shall receive appropriate training.<sup>76</sup>

Article 6 of the Optional Protocol to the Convention on the Rights of the Child on the Involvement of Children in Armed Conflict provides that each State Party shall take all necessary legal, administrative and other measures to ensure the effective implementation and enforcement of the protocol.

International criminal law also establishes important safeguards in this field. Indeed, according to the Rome Statute, the International Criminal Court is required to take appropriate measures to protect the safety, physical and psychological well-being, dignity and privacy of victims and witnesses; and, to secure their protection, it may conduct proceedings in camera or allow the presentation of evidence by electronic or other special means; “these measures shall be implemented in the case of a victim of sexual violence or a child who is a victim or a witness”<sup>77</sup>. The Rome Statute also foresees the establishment of a Victims and Witnesses Unit within the Registry to provide protective measures and security arrangements, counselling and other appropriate assistance. The Unit “shall include staff with expertise in trauma, including trauma related to crimes of sexual violence”.<sup>78,79</sup>

#### 4.2. Regional standards

Significant regional instruments also address this area of concern. The Inter-American Convention on the Prevention, Punishment and Eradication of Violence against Women, also covering the situation of girls under the age of majority,<sup>80</sup> calls for

<sup>71</sup> General comment 2, paragraph 13.

<sup>72</sup> Article 19 paragraph 2.

<sup>73</sup> Article 39.

<sup>74</sup> As of September 2012.

<sup>75</sup> Art.8(1).

<sup>76</sup> Art.8(3) and (4).

<sup>77</sup> Article 68.

<sup>78</sup> Article 43 paragraph 6.

<sup>79</sup> Also relevant are the Rules of Procedure and Evidence adopted by the Assembly of States Parties of the Statute of the International Criminal Court and the Regulations of the Office of the Prosecutor.

<sup>80</sup> Arts.2 and 9.

fair and effective legal procedures for victims including “protective measures, a timely hearing and effective access to such procedures” (...) “effective access to restitution, reparations or other just and effective remedies”, and specialized services, including shelters [and] counselling services...”.<sup>81</sup> The Convention applies to physical, sexual and psychological violence, although not to violence against boys, and is in force in all OAS Member States except two.<sup>82</sup>

**The African Charter on the Rights and Welfare of the Child** mirrors many of the protective principles of the Convention on the Rights of the Child, including the need for legislative, administrative, social and educational measures to protect the child from all forms of torture, inhuman or degrading treatment, from physical or mental injury or abuse, neglect or maltreatment including sexual abuse; and from sexual exploitation. Protective measures include the establishment of special monitoring units to provide necessary support for the child and for those who have the care of the child, as well as other forms of prevention and for identification, reporting referral investigation, treatment, and follow-up of instances of child abuse and neglect.

**The Protocol to the African Charter on Human and Peoples Rights on the Rights of Women in Africa** presents important provisions for the protection of girls from violence and calls, inter alia, for the establishment of mechanisms and accessible services for effective information, rehabilitation and reparation of victims of violence; for States’ support to initiatives directed at providing women with access to legal services, including legal aid; and requires the provision of appropriate remedies to be “determined by competent judicial, administrative or legislative authorities, or any other competent authority provided for by law”.<sup>83</sup>

**The Convention on the Protection of Children against Sexual Exploitation and Sexual Abuse** adopted by the Council of Europe in 2007 requires

Parties to take “measures to assist victims, in the short and long term, in their physical and psycho-social recovery”<sup>84</sup> and to “adopt a protective approach towards victims, ensuring that the investigations and criminal proceedings do not aggravate the trauma experienced by the child and that the criminal justice response is followed by assistance, where appropriate<sup>85</sup>”. Article 31 establishes a comprehensive set of safeguards for the protection of the rights of victims and witnesses, including on their safety, protection from intimidation and re-victimization, rehabilitation, and the provision of age-sensitive information. Article 35 contains standards regarding interviews with child victims, which should take place without unjustified delay, by professionals trained for this purpose and conducted in an environment and in a manner sensitive to the rights and vulnerability of the child.<sup>86</sup> This Convention also indicates that norms concerning the confidentiality of information obtained in the course of professional activities should not prevent their reporting of situations where they have reasonable grounds for believing that the child is a victim of sexual abuse or exploitation and it encourages the establishment of telephone or internet helplines to provide confidential advice.<sup>87</sup> At present, it is in force for 10 of the 47 Member States of the Council of Europe, and is also opened to the accession by non-member States.<sup>88</sup>

The 2005 **Economic and Social Council Guidelines on Justice in Matters Involving Child Victims and Witnesses of Crime** (“UN Guidelines”), provide a comprehensive set of standards of strong relevance for the protection of children affected by violence. Sections V to XIV contain significant guidance on the right to be treated with dignity and compassion; to be protected from discrimination; to be informed, to be heard and to

<sup>81</sup> Art.7(f), 7(g) and 8(d).

<sup>82</sup> As at 15 January 2011 (Canada and the United States have not yet ratified).

<sup>83</sup> See in particular articles 1 j), 8 and 25.

<sup>84</sup> Art. 14(1).

<sup>85</sup> Art.30.

<sup>86</sup> In addition, Art.36(2)(b) requires States Parties to ensure that “victims may be heard in the courtroom without being present, notably through the use of appropriate communication technologies.”

<sup>87</sup> Arts.12 and 13.

<sup>88</sup> As at 15 January 2011.

express views and concerns; the right to effective assistance, to privacy, to be protected from hardship during the justice process; the right to safety, to reparation and to special preventive measures.

At the regional level, the **Council of Europe Guidelines on Child Friendly Justice**,<sup>89</sup> adopted in November 2010, recognize the right to a speedy, age sensitive, diligent justice system that respects children's rights and children's protection from harm, intimidation, reprisals and secondary victimization; the Guidelines also highlight the right to "appropriate ways to access justice" and to "appropriate independent and effective complaints mechanisms."<sup>90</sup>

### 4.3. International commitments to children

The participants at the **World Congress III against Sexual Exploitation of Children and Adolescents** agreed upon important commitments in this area, having called on States to:

- a) establish by 2013 an effective and accessible system for reporting, follow up and support for child victims of suspected or actual incidents of sexual exploitation, for example by instituting mandatory reporting for people in positions of responsibility for the welfare of children;
- b) develop or enhance accessibility of existing telephone or web-based help lines, in particular for children in care and justice institutions, to encourage children and require care givers to confidentially report sexual exploitation and seek referral to appropriate services, and ensure that the operators of such reporting mechanisms are adequately trained and supervised;
- c) establish or strengthen national child protection services in order to provide all child victims of sexual exploitation, without discrimination, with the necessary economic and psycho-social support for their full physical and psychological recovery and social reintegration;
- d) ensure that these services are accessible, appropriately resourced, comprehensive, child- and gender-sensitive, and reach all children without discrimination of any kind, irrespective of the child's or his or her parent's or legal guardian's race, colour, sex, and social origin and including children with disabilities, from ethnic minorities, indigenous or Aboriginal children, refugee or asylum-seeking and children in domestic service or living on the streets and children displaced by conflict or emergency situations.<sup>91</sup>

The establishment of violence related child sensitive mechanisms is gaining an increasing relevance as part of the process of follow-up to the UN Study on Violence against Children across regions. In the case of South Asia, for instance, governments in the region formed the **South Asia Initiative to Eliminate Violence against Children (SAIEVAC)** which adopted a strategic plan for 2010-15 with two specific goals addressing this question:

- a) By 2015 all States have ensured that all professionals working with or for children (including those working for the State) who suspect that acts of violence have been committed against a child are required by law to report the crime (...) Reporting systems should be safe, well publicized, confidential, accessible and child friendly, allowing children, their representatives and others to report violence against children.
- b) By 2015 all States have clearly defined procedures for the referral of child victims of violence and the modalities for inter-agency cooperation (that is between social services, education, health, police, prosecution authorities, voluntary and private agencies) following an assessment of each particular victim, given due weight to his/her views, and when it is in the best interests of the child, also to her/his parents' or guardians' views.

<sup>89</sup> Adopted on 17 November 2010 by the Committee of Ministers of the Council of Europe

<sup>90</sup> III.A.1 and III.E.3.

<sup>91</sup> Rio de Janeiro Declaration and Call for Action to Prevent and Stop Sexual Exploitation of Children and Adolescents, paras. 46-49.

## Optional Protocol to the Convention on the Rights of the Child on a Communications Procedure

(adopted by General Assembly Resolution 66/138, 19 December 2011)

*The States parties to the present Protocol,*

*Considering* that, in accordance with the principles proclaimed in the Charter of the United Nations, the recognition of the inherent dignity and the equal and inalienable rights of all members of the human family is the foundation of freedom, justice and peace in the world,

*Noting* that the States parties to the Convention on the Rights of the Child (hereinafter referred to as “the Convention”) recognize the rights set forth in it to of the child’s or his or her parent’s or legal guardian’s race, colour, sex, language, religion, political or other opinion, national, ethnic or social origin, property, disability, birth or other status,

*Reaffirming* the universality, indivisibility, interdependence and interrelatedness of all human rights and fundamental freedoms,

*Reaffirming also* the status of the child as a subject of rights and as a human being with dignity and with evolving capacities,

*Recognizing* that children’s special and dependent status may create real difficulties for them in pursuing remedies for violations of their rights,

*Considering* that the present Protocol will reinforce and complement national and regional mechanisms allowing children to submit complaints for violations of their rights,

*Recognizing* that the best interests of the child should be a primary consideration to be respected in pursuing remedies for violations of the rights of the child, and that such remedies should take into account the need for child-sensitive procedures at all levels,

*Encouraging* States parties to develop appropriate national mechanisms to enable a child whose rights have been violated to have access to effective remedies at the domestic level,

*Recalling* the important role that national human rights institutions and other relevant specialized institutions, mandated to promote and protect the rights of the child, can play in this regard,

*Considering* that, in order to reinforce and complement such national mechanisms and to further enhance the implementation of the Convention and, where applicable, the Optional Protocols thereto on the sale of children, child prostitution and child pornography and on the involvement of children in armed conflict, it would be appropriate to enable the Committee on the Rights of the Child (hereinafter referred to as “the Committee”) to carry out the functions provided for in the present Protocol,

*Have agreed* as follows:

### Part I. General provisions

#### Article 1. Competence of the Committee on the Rights of the Child

1. A State party to the present Protocol recognizes the competence of the Committee as provided for by the present Protocol.
2. The Committee shall not exercise its competence regarding a State party to the present Protocol on matters concerning violations of rights set forth in an instrument to which that State is not a party.
3. No communication shall be received by the Committee if it concerns a State that is not a party to the present Protocol.

#### Article 2. General principles guiding the functions of the Committee

In fulfilling the functions conferred on it by the present Protocol, the Committee shall be guided by the principle of the best interests of the child. It shall also have regard for the rights and views of the child, the views of the child being given due

weight in accordance with the age and maturity of the child.

### Article 3. Rules of procedure

1. The Committee shall adopt rules of procedure to be followed when exercising the functions conferred on it by the present Protocol. In doing so, it shall have regard, in particular, for article 2 of the present Protocol in order to guarantee child-sensitive procedures.

2. The Committee shall include in its rules of procedure safeguards to prevent the manipulation of the child by those acting on his or her behalf and may decline to examine any communication that it considers not to be in the child's best interests.

### Article 4. Protection measures

1. A State party shall take all appropriate steps to ensure that individuals under its jurisdiction are not subjected to any human rights violation, ill-treatment or intimidation as a consequence of communications or cooperation with the Committee pursuant to the present Protocol.

2. The identity of any individual or group of individuals concerned shall not be revealed publicly without their express consent.

## Part II. Communications procedure

### Article 5. Individual communications

1. Communications may be submitted by or on behalf of an individual or group of individuals, within the jurisdiction of a State party, claiming to be victims of a violation by that State party of any of the rights set forth in any of the following instruments to which that State is a party:

- (a) The Convention;
- (b) The Optional Protocol to the Convention on the sale of children, child prostitution and child pornography;
- (c) The Optional Protocol to the Convention on the involvement of children in armed conflict.

2. Where a communication is submitted on behalf of an individual or group of individuals, this shall be with their consent unless the author can justify acting on their behalf without such consent.

### Article 6. Interim measures

1. At any time after the receipt of a communication and before a determination on the merits has been reached, the Committee may transmit to the State party concerned for its urgent consideration a request that the State party take such interim measures as may be necessary in exceptional circumstances to avoid possible irreparable damage to the victim or victims of the alleged violations.

2. Where the Committee exercises its discretion under paragraph 1 of the present article, this does not imply a determination on admissibility or on the merits of the communication.

### Article 7. Admissibility

The Committee shall consider a communication inadmissible when:

- (a) The communication is anonymous;
- (b) The communication is not in writing;
- (c) The communication constitutes an abuse of the right of submission of such communications or is incompatible with the provisions of the Convention and/or the Optional Protocols thereto;
- (d) The same matter has already been examined by the Committee or has been or is being examined under another procedure of international investigation or settlement;
- (e) All available domestic remedies have not been exhausted. This shall not be the rule where the application of the remedies is unreasonably prolonged or unlikely to bring effective relief;
- (f) The communication is manifestly ill-founded or not sufficiently substantiated;
- (g) The facts that are the subject of the communication occurred prior to the entry into force of the present Protocol for the State party concerned, unless those facts continued after that date;
- (h) The communication is not submitted within one year after the exhaustion of domestic remedies, except in cases where the author can demonstrate that it had not been possible to submit the communication within that time limit.

**Article 8. Transmission of the communication**

1. Unless the Committee considers a communication inadmissible without reference to the State party concerned, the Committee shall bring any communication submitted to it under the present Protocol confidentially to the attention of the State party concerned as soon as possible.

2. The State party shall submit to the Committee written explanations or statements clarifying the matter and the remedy, if any, that it may have provided. The State party shall submit its response as soon as possible and within six months.

**Article 9. Friendly settlement**

1. The Committee shall make available its good offices to the parties concerned with a view to reaching a friendly settlement of the matter on the basis of respect for the obligations set forth in the Convention and/or the Optional Protocols thereto.

2. An agreement on a friendly settlement reached under the auspices of the Committee closes consideration of the communication under the present Protocol.

**Article 10. Consideration of communications**

1. The Committee shall consider communications received under the present Protocol as quickly as possible, in the light of all documentation submitted to it, provided that this documentation is transmitted to the parties concerned.

2. The Committee shall hold closed meetings when examining communications received under the present Protocol.

3. Where the Committee has requested interim measures, it shall expedite the consideration of the communication.

4. When examining communications alleging violations of economic, social or cultural rights, the Committee shall consider the reasonableness of the steps taken by the State party in accordance with article 4 of the Convention. In doing so, the Committee shall bear in mind that the State party may adopt a range of possible policy measures for the implementation of the economic, social and cultural rights in the Convention.

5. After examining a communication, the Committee shall, without delay, transmit its views on the communication, together with its recommendations, if any, to the parties concerned.

**Article 11. Follow-up**

1. The State party shall give due consideration to the views of the Committee, together with its recommendations, if any, and shall submit to the Committee a written response, including information on any action taken and envisaged in the light of the views and recommendations of the Committee. The State party shall submit its response as soon as possible and within six months.

2. The Committee may invite the State party to submit further information about any measures the State party has taken in response to its views or recommendations or implementation of a friendly settlement agreement, if any, including as deemed appropriate by the Committee, in the State party's subsequent reports under article 44 of the Convention, article 12 of the Optional Protocol to the Convention on the sale of children, child prostitution and child pornography or article 8 of the Optional Protocol to the Convention on the involvement of children in armed conflict, where applicable.

**Article 12. Inter-State communications**

1. A State party to the present Protocol may, at any time, declare that it recognizes the competence of the Committee to receive and consider communications in which a State party claims that another State party is not fulfilling its obligations under any of the following instruments to which the State is a party:

(a) The Convention;

(b) The Optional Protocol to the Convention on the sale of children, child prostitution and child pornography;

(c) The Optional Protocol to the Convention on the involvement of children in armed conflict.

2. The Committee shall not receive communications concerning a State party that has not made such a declaration or communications from a State party that has not made such a declaration.

3. The Committee shall make available its good offices to the States parties concerned with a view to a friendly solution of the matter on the basis of the respect for the obligations set forth in the Convention and the Optional Protocols thereto.

4. A declaration under paragraph 1 of the present article shall be deposited by the States parties with the Secretary-General of the United Nations, who shall transmit copies thereof to the other States parties. A declaration may be withdrawn at any time by notification to the Secretary-General. Such a withdrawal shall not prejudice the consideration of any matter that is the subject of a communication already transmitted under the present article; no further communications by any State party shall be received under the present article after the notification of withdrawal of the declaration has been received by the Secretary-General, unless the State party concerned has made a new declaration.

### **Part III Inquiry procedure**

#### **Article 13. Inquiry procedure for grave or systematic violations**

1. If the Committee receives reliable information indicating grave or systematic violations by a State party of rights set forth in the Convention or in the Optional Protocols thereto on the sale of children, child prostitution and child pornography or on the involvement of children in armed conflict, the Committee shall invite the State party to cooperate in the examination of the information and, to this end, to submit observations without delay with regard to the information concerned.

2. Taking into account any observations that may have been submitted by the State party concerned, as well as any other reliable information available to it, the Committee may designate one or more of its members to conduct an inquiry and to report urgently to the Committee. Where warranted and with the consent of the State party, the inquiry may include a visit to its territory.

3. Such an inquiry shall be conducted confidentially, and the cooperation of the State party shall be sought at all stages of the proceedings.

4. After examining the findings of such an inquiry, the Committee shall transmit without delay these

findings to the State party concerned, together with any comments and recommendations.

5. The State party concerned shall, as soon as possible and within six months of receiving the findings, comments and recommendations transmitted by the Committee, submit its observations to the Committee.

6. After such proceedings have been completed with regard to an inquiry made in accordance with paragraph 2 of the present article, the Committee may, after consultation with the State party concerned, decide to include a summary account of the results of the proceedings in its report provided for in article 16 of the present Protocol.

7. Each State party may, at the time of signature or ratification of the present Protocol or accession thereto, declare that it does not recognize the competence of the Committee provided for in the present article in respect of the rights set forth in some or all of the instruments listed in paragraph 1.

8. Any State party having made a declaration in accordance with paragraph 7 of the present article may, at any time, withdraw this declaration by notification to the Secretary-General of the United Nations.

#### **Article 14. Follow-up to the inquiry procedure**

1. The Committee may, if necessary, after the end of the period of six months referred to in article 13, paragraph 5, invite the State party concerned to inform it of the measures taken and envisaged in response to an inquiry conducted under article 13 of the present Protocol.

2. The Committee may invite the State party to submit further information about any measures that the State party has taken in response to an inquiry conducted under article 13, including as deemed appropriate by the Committee, in the State party's subsequent reports under article 44 of the Convention, article 12 of the Optional Protocol to the Convention on the sale of children, child prostitution and child pornography or article 8 of the Optional Protocol to the Convention on the involvement of children in armed conflict, where applicable.

## Part IV Final provisions

### Article 15 International assistance and cooperation

1. The Committee may transmit, with the consent of the State party concerned, to United Nations specialized agencies, funds and programmes and other competent bodies its views or recommendations concerning communications and inquiries that indicate a need for technical advice or assistance, together with the State party's observations and suggestions, if any, on these views or recommendations.

2. The Committee may also bring to the attention of such bodies, with the consent of the State party concerned, any matter arising out of communications considered under the present Protocol that may assist them in deciding, each within its field of competence, on the advisability of international measures likely to

contribute to assisting States parties in achieving progress in the implementation of the rights recognized in the Convention and/or the Optional Protocols thereto.

### Article 16 Report to the General Assembly

The Committee shall include in its report submitted every two years to the General Assembly in accordance with article 44, paragraph 5, of the Convention a summary of its activities under the present Protocol.

### Article 17 Dissemination of and information on the Optional Protocol

Each State party undertakes to make widely known and to disseminate the present Protocol and to facilitate access to information about the views and recommendations of the Committee, in particular with regard to matters involving the State party, by appropriate and active means and in accessible formats to adults and children alike, including those with disabilities.

### Article 18 Signature, ratification and accession

1. The present Protocol is open for signature to any State that has signed, ratified or acceded to the Convention or either of the first two Optional Protocols thereto.

2. The present Protocol is subject to ratification by any State that has ratified or acceded to the Convention or either of the first two Optional Protocols thereto. Instruments of ratification shall be deposited with the Secretary-General of the United Nations.

3. The present Protocol shall be open to accession by any State that has ratified or acceded to the Convention or either of the first two Optional Protocols thereto.

4. Accession shall be effected by the deposit of an instrument of accession with the Secretary-General.

### Article 19 Entry into force

1. The present Protocol shall enter into force three months after the deposit of the tenth instrument of ratification or accession.

2. For each State ratifying the present Protocol or acceding to it after the deposit of the tenth instrument of ratification or instrument of accession, the present Protocol shall enter into force three months after the date of the deposit of its own instrument of ratification or accession.

### Article 20 Violations occurring after the entry into force

1. The Committee shall have competence solely in respect of violations by the State party of any of the rights set forth in the Convention and/or the first two Optional Protocols thereto occurring after the entry into force of the present Protocol.

2. If a State becomes a party to the present Protocol after its entry into force, the obligations of that State vis-à-vis the Committee shall relate only to violations of the rights set forth in the Convention and/or the first two Optional Protocols thereto occurring after the entry into force of the present Protocol for the State concerned.

### Article 21. Amendments

1. Any State party may propose an amendment to the present Protocol and submit it to the Secretary-General of the United Nations. The Secretary-General shall communicate any proposed amendments to States parties with a request to be notified whether they favour a meeting of

States parties for the purpose of of the date of such communication, at least one third of the States parties favour such a meeting, the Secretary-General shall convene the meeting under the auspices of the United Nations. Any amendment adopted by a majority of two thirds of the States parties present and voting shall be submitted by the Secretary-General to the General Assembly for approval and, thereafter, to all States parties for acceptance.

2. An amendment adopted and approved in accordance with paragraph 1 of the present article shall enter into force on the thirtieth day after the number of instruments of acceptance deposited reaches two thirds of the number of States parties at the date of adoption of the amendment. Thereafter, the amendment shall enter into force for any State party on the thirtieth day following the deposit of its own instrument of acceptance. An amendment shall be binding only on those States parties that have accepted it.

#### **Article 22 Denunciation**

1. Any State party may denounce the present Protocol at any time by written notification to the Secretary-General of the United Nations. The denunciation shall take effect one year after the date of receipt of the notification by the Secretary-General.

2. Denunciation shall be without prejudice to the continued application of the provisions of the present Protocol to any communication submitted under articles 5 or 12 or any inquiry initiated under article 13 before the effective date of denunciation.

#### **Article 23. Depositary and notification by the Secretary-General**

1. The Secretary-General of the United Nations shall be the depositary of the present Protocol.

2. The Secretary-General shall inform all States of:

(a) Signatures, ratifications and accessions under the present Protocol;

(b) The date of entry into force of the present Protocol and of any

amendment thereto under article 21;

(c) Any denunciation under article 22 of the present Protocol.

#### **Article 24. Languages**

1. The present Protocol, of which the Arabic, Chinese, English, French, Russian and Spanish texts are equally authentic, shall be deposited in the archives of the United Nations.

2. The Secretary-General of the United Nations shall transmit certified copies of the present Protocol to all States.

